DIRECTORATE OF DISTANCE EDUCATION
NALSAR UNIVERSITY OF LAW, HYDERABAD

P.G.DIPLOMA IN FINANCIAL SERVICES & LEGISLATIONS

Academic Year: 2019-2020

I Semester - End Semester Examination (December, 2019)

Paper I - 1.1.1. Financial Markets and Institutions

Time: 2 ½ hours. **TOTAL MARKS: 70**

INSTRUCTIONS TO CANDIDATES

1. The questions to be interpreted as given and no clarification can be sought from the invigilator.
2. It is a closed book exam. No printed or handwritten materials / mobile phone / electronic devices will be allowed in the hall.
3. Read the questions carefully and answer. Logical assumptions can be made, wherever needed.
4. Answers without Question numbers will not be marked.
5. Answers in illegible handwriting will not be taken into consideration.

<u>Section-A :</u> ***Answer any 5 of the seven questions given below. Each question carries 3 marks***
(5 x 3 = 15 marks)

1) Brickwork ratings
2) Options
3) Inter-corporate Deposits
4) Non-convertible Debentures
5) Development Banks
6) Special Fund
7) PFRDA

<u>Section-B :</u> ***Answer any 5 of the seven questions given below. Each question carries 5 marks***
(5 x 5 = 25 marks)

8) What is ECGC and what all facilities it provides?
9) Highlight the advantages of investing in mutual funds
10) How are money markets different from capital markets? Explain in brief.
11) Briefly explain the role of IRDA in Indian Financial System.
12) How is Global Depository Receipt different from Indian Depository Receipt?
13) Differentiate recognized and unrecognized provident funds
14) Briefly explain the key phases of financial planning and wealth management processes

<u>Section-C :</u> ***Answer any 3 of the five questions given below. Each question carries 10 marks***
(3 x 10 = 30 marks)

15) What is your understanding of Investment banking? Explain the services offered by Investment bankers
16) What is an NBFC? Explain in brief, various categories of NBFCs
17) Explain the underlying benefits that draw the attention of investors to invest in pension funds.
18) Explain the functions of RBI that impact the functionality of Indian Economy.
19) What are the important functions of a commercial bank? Explain them in brief.